PATENT APPLICATION Docket No: 15436.247.45.1 ( -/c

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Art Unit

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jeffrey D. Walker et al.

10/014,679

Filing Date:

Serial No .:

December 11, 2001

Confirmation No.:

Unknown

For:

INTEGRATED OPTICAL DEVICE INCLUDING A VERTICAL LASING SEMICONDUCTOR OPTICAL

**AMPLIFIER** 

Examiner:

Unknown

### TRANSMITTAL FOR REVOCATION AND SUBSTITUTE POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is a Revocation and Substitute Power of Attorney. A duplicate copy of this letter is enclosed.

Dated this 13 day of June 2003.

Respectfully submitted,

urns elswelsen

R. Burns Israelsen Attorney for Applicant Registration No. 42,685

022913

PATENT TRADEMARK OFFICE

JUN 1 9 2003 GROUP 3600 Express Mailing Label No. EV 218962424 US

PATENT APPLICATION Docket: 15436.247.45.1

### IN THE UNITED STATES TRADEMARK OFFICE

In re application of

Jeffrey D. Walker et al.

Art Unit

Serial No.:

10/014,679

Filing Date:

December 11, 2001

Confirmation No.:

Unknown

For:

INTEGRATED OPTICAL DEVICE INCLUDING

VERTICAL LASING SEMICONDUCTOR

OPTICAL AMPLIFIER

Examiner:

Unknown

### CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this \( \begin{aligned} \text{day of June 2003:} \end{aligned} \)

Transmittal for Revocation and Substitute Power of Attorney (1 page)

Revocation and Substitute Power of Attorney with Exhibits A and B ( pages)

Change of Attorney Docket Number (2 pages)

Postcard

Respectfully submitted,

RECEIVED

JUN 1 9 2003

Kimberly Kendrick

Paralegal

**GROUP 3600** 

WORKMAN, NYDEGGER & SEELEY

1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 Telephone: (801) 533-9800

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jeffrey D. Walker et al.

Serial No.:

10/014,679

Filing Date:

December 11, 2001

Art Unit

Confirmation No.:

Unknown

For:

INTEGRATED OPTICAL DEVICE INCLUDING A VERTICAL

LASING SEMICONDUCTOR OPTICAL AMPLIFIER

# <u>POWER OF ATTORNEY AND</u> STATEMENT UNDER 37 CFR 3.73(b)

Honorable Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

I, Steve Workman, state that I am Chief Financial Officer of Genoa Corporation and that I am authorized to execute this Revocation and Substitute Power of Attorney on behalf of Genoa Corporation.

JUN 1 9 2003

GROUP 3600

I further state that Genoa Corporation is the assignee of the entire interest of the above-identified patent or patent application as shown by the assignment(s) recorded in the U.S. Patent and Trademark Office at the Reel and Frame identified in Exhibit A; The assignee, Genoa Corporation, hereby revokes all previous powers of attorney in the above-identified application, which is included in the schedule of U.S. Patents and Patent Applications of Exhibit B, and now hereby appoints all attorneys under customer number:



### PATENT TRADEMARK OFFICE

of WORKMAN, NYDEGGER & SEELEY, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, as attorneys with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the Letters Patent, and to transact all business in the Patent and Trademark Office connected therewith.

All correspondence and telephonic communication should be directed to:

ERIC L. MASCHOFF WORKMAN, NYDEGGER & SEELEY 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111

This Revocation and Substitute Power of Attorney and Statement Under 37 CFR 3.73(b) is effective for all of the U.S. Patents and Patent Applications of Exhibit B, and shall be filed at the U.S. Patent & Trademark Office in all of said U.S. Patents and Patent Applications.

| Signed this 3 day of TUNE | 5. K. Werkman                                 |
|---------------------------|---|
|                           | Steve Workman  CFO (title)  Genoa Corporation |

# EXHIBIT A

|       | An assignment from | n the i | inventor(s) of U.S. Patent Application Serial No. 10/014,679 |
|-------|--------------------|---------|--|
| filed | 12/11/02           |         | has been recorded in the U.S. Patent and Trademark Office at |
| Reel_ | 012382, Frame      | 09      | <u>30</u>  |

# EXHIBIT B Patents and Patent Applications Subject to Revocations and Substitute Power of Attorney

| WWS (ALD #                   | Previousitery<br>Firm Ref.()  | Call I  | Serial#                  | (Filling Date          | Patent # za<br>Patent # za<br>Patent # za | Serio Davis            |
|------------------------------|-------------------------------|---|--------------------------|------------------------|---|------------------------|
| 15436.247.52                 | 3665 US (2)                   | TUNABLE-GAIN LASING SEMICONDUCTOR OPTICAL AMPLIFIER   | 09/273,813               | 22-Mar-99              | 6,445,495                                 | 3-Sep-02               |
| 15436.247.53<br>15436.247.54 | 3724 US (4,7)<br>3902 US (19) | LOW-NOISE, HIGH-POWER OPTICAL AMPLIFIER OPTICAL SIGNAL POWER MONITOR AND REGULATOR                    | 09/416,817<br>09/299,824 | 12-Oct-99<br>26-Apr-99 | 6,512,629<br>6,347,104                    | 28-Jan-03<br>12-Feb-02 |
| 15436.247.55                 | 4399 US (1)                   | POLARIZATION INSENSITIVE SEMICONDUCTOR OPTICAL AMPLIEFE   | 09/585,587               | 2-Jun-00               | 6,310,720                                 | 30-Oct-01              |
| 15436.247.55.1               | 6347 US                       | POLARIZATION INSENSITIVE SEMICONDUCTOR OPTICAL AMPLIFIER  | 09/956,175               | 18-Sep-01              | 6,549,331                                 | 15-Apr-03              |
| 15436.247.51                 | 4919 US (25)                  | ELECTRICALLY PUMPED VERTICAL OPTICAL CAVITY WITH IMPROVED ELECTRICAL PERFORMANCE                      | 09/580,322               | 26-May-00              |   |                        |
| 15436.247.45.1               | 2920 US (11B)<br>(9)          | INTEGRATED OPTICAL DEVICE INCULDING A VETICAL LASING SEMICONDUCTOR OPTICAL AMPLIFIER                  | 10/014,679               | 11-Dec-01              |   |                        |
| 15436.247.52.1               | 5919 U.S                      | MULTISTAGE TUNABLE GAIN OPTICAL AMPLIFIER   | 658,796/60               | 28-Sep-01              |   |                        |
| 15436.247.35.1               | 5920 US                       | OPTICAL CROSSBAR USING LASING<br>SEMICONDUCTOR OPTICAL AMPLIFIER                                      | 10/020,527               | 14-Dec-01              |   |                        |
| 15436.247.38.1               | 5921 US                       | SYSTEM AND METHOD FOR WAVELENGTH CONVERSION USING A VLSOA   | 10/017,200               | 14-Dec-01              |   |                        |
| 15436.247.54.1               | 5926 US                       | LASING SEMICONDUCTOR OPTICAL AMPLIFIER WITH OPTICAL SIGNAL POWER MONITOR                              | 10/061,585               | 1-Feb-02               |   |                        |
| 15436.247.40.1               | 5927 US                       | OPTICAL LOGICAL CIRCUITS BASED ON LASING SEMICONDUCTOR OPTICAL AMPLIFIERS                             | 10/020,558               | 14-Dec-01              |   |                        |
| 15436.247.45.1.1             |                               | OPTICAL TRANSMITTER INCLUDING A LINEAR SEMICONDUCTOR OPTICAL AMPLIFIER                                | 10/017,358               | 12/13/2001             |   |                        |
| 15436.247.45.1.2             | 5930 U.S                      | OPTICAL RECEIVER INCLUDING A LINEAR SEMICONDUCTOR OPTICAL AMPLIFIER                                   | 10/017,201               | 14-Dec-01              |   |                        |
| 15436.247.39.1               | 5931 US                       | OPTICAL 2R/3R REGENERATION  | 10/029,523               | 21-Dec-01              |   |                        |
| 15436.247.45.2               | 5932 US                       | BRAODBAND GAIN CLAMPED SEMICONDUCOR OPTICAL AMPLIFIER DEVICES   | 10/016,954               | 13-Dec-01              | 6,560,010                                 | 6-May-03               |
| 15436.247.37.1               | 5961 US                       | EARLY WARNING FAILURE DETECTION FOR A LASING SEMICONDUCTOR OPTICAL AMPLIFIER                          | 10/029,676               | 21-Dec-01              |   |                        |
| 15436.247.54.2.1             | 6421 US                       | LASING SEMICONDUCTOR OPTICAL AMPLIFIER WITH OUTPUT POWER MONITOR AND CONTROL                          | 10/092,455               | 6-Mar-02               |   |                        |
| 15436.247.54.2               | 6528 US                       | OPTICAL SIGNAL POWER MONITOR AND REUGLATOR  | 10/033,550               | 27-Dec-01              |   |                        |
| 15436.247.43.1               | 6678 US                       | RECONFIGURABLE OPTICAL ADD-DROP MULTIPLEXER   | 10/095,539               | 11-Mar-02              |   |                        |
| 15436.247.56                 | 6734 US                       | OPTICAL AMPLIFIER WITH DAMPED RELAXATION OSCILLATION  | 10/101,761               | 19-Mar-02              |   |                        |
| 15436.247.48.1               | 6805 US                       | ELECTRICALLY PUMPED SEMICONDUCTOR ACTIVE REGION WITH A BACKWARD DIODE, FOR ENHANCING OPTICAL SIGNALS. | 10/392,599               | 18-Mar-03              |   | i                      |
| 15436.247.50.1               | SU 9089                       | VERTICAL LASER CAVITY WITH A NON-PLANAR TOP MIRROR  | 10/392,671               | 18-Mar-03              |   |                        |
| 15436.247.53.1               | 7341 US                       | LOW-NOISE, HIGH-POWER OPTICAL AMPLIFIER   | 10/300,439               | 19-Nov-02              |   |                        |

RESS MAILING LABEL NO. US 218962424 EV

PATENT APPLICATION Docket No.:15436.247.45.1

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jeffrey D. Walker et al.

Art Unit

Serial No.:

10/014,679

Filing Date:

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Confirmation No.:

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For:

INTEGRATED OPTICAL DEVICE INCLUDING

A VERTICAL LASING SEMICONDUCTOR

**OPTICAL AMPLIFIER** 

Examiner:

Unknown

### **CHANGE OF ATTORNEY DOCKET NUMBER**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For convenience and ready identification of the papers received in connection with the above-identified patent application, please reference in all future communications my Docket No. . All communications should be addressed to:

JUN 1 9 2003 GROUP 3600 ERIC L. MASCHOFF
WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
(801) 533-9800

Dated this 13 day of June, 2003.

Respectfully submitted,

R. Burns Israel

R. BURNS ISRAELSEN Attorney for Applicant Registration No. 42,685

022913

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RBI:kk A:\Change of Atty Dkt No.doc